PTO-1390 (Rev. 02-2005) through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NO. **LYBZ 2 00094** 

ee 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP03/11770

INTERNATIONAL FILING DATE **24 OCTOBER 2003** 

PRIORITY DATE CLAIMED **29 NOVEMBER 2002** 

TITLE OF INVENTION

BALL BEARING AND A VACUUM PUMP THAT IS EQUIPPED WITH A BEARING OF THIS TYPE
APPLICANT(S) FOR DO/EO/US Heinrich ENGLÄNDER; Karl-Heinz VÖLKER; Wolfgang SCHULZ
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. Property The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. is attached hereto (required only if not communicated by the International Bureau).
b. As been communicated by the International Bureau.
c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
a. is attached hereto.
b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a. are attached hereto (required only if not communicated by the International Bureau).
b. have been communicated by the International Bureau.
c. have not been made; however, the time limit for making such amendments has NOT expired.
d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11 to 20 below concern document(s) or information included:
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO Form 1449 and References (if required).
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
1. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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U.S. APPLICAT	J.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP03/11770		ATTORNEY DOCKET NO.  LYBZ 2 00094			
The following fees have been submitted			CALCULATIONS	PTO USE ONLY		
21. Basic national fee			\$ 300.00			
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00	
TOTAL OF 21, 22 and 23 =					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						-
Total Sheets	al Sheets		RATE			
- 100 =	/50 =			× \$250	\$	
	0.00 for furnishing late (37 CFR 1.49		ation later than 30 months f	rom the earliest	\$	
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	16	- 20 =		× \$ 50	\$	
Independent clai	ms 2	- 3 =		× \$200	\$	
MULTIPLE DEP	ENDENT CLAIM(S	S) (if applicable)		+ \$360	\$	
				CALCULATIONS =	\$ 900.00	
Applicant cla	ims small entity s	tatus. See 37 CFR	1.27. Fees above are redu	ced by 1/2.		
SUBTOTAL =				\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$			
· TOTAL NATIONAL FEE =			\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$ 40.00			
TOTAL FEES ENCLOSED =			EES ENCLOSED =	\$ 940.00		
				Amount to be refunded:	\$	
			·		Amount to be charged:	\$
a. A chec	k in the amount of	\$ 940.00	to cover the abo	ove fees is enclosed.		
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0308 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL COR	RESPONDENCE	TO:	_	Thursd	- Amount	6
•				SIGNATURE	10001110	<u> </u>
			ÓCOVSKY, Jr.			
1100 Superior Avenue, Seventh Floor  NAME						
Cleveland, OH 44114-2518 28,383				28,383		
(216) 861-5582 (tel); (216) 241-1666 (fax) REGISTRAT			ON NUMBER			

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		Examiner:
H. ENGLÄNDER, et al.		
	)	Art Unit:
Serial No.: Unknown	)	
•	)	Confirmation:
Filed: Herewith	)	
	)	Customer No.: 27,885
For: BALL BEARING AND A	)	
<b>VACUUM PUMP THAT IS</b>	)	
EQUIPPED WITH A	)	
<b>BEARING OF THIS TYPE</b>	)	
	)	
Attorney Docket No.:	)	Cleveland, OH 44114
LYBZ 2 00094	)	May 25, 2005

# **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

Mail Stop: PCT Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

"Express Mail" Mailing Label Number: <u>EV 494959982 US</u>
Date of Deposit: <u>MAY 25, 2005</u>

I hereby certify that the attached TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Helary M. McNulty Hilary M. McNULTY

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)	Examiner:
H. ENGLÄNDER, et al.	)	
	)	Art Unit:
Serial No.: Unknown	)	
	)	Confirmation:
Filed: Herewith	)	
	)	Customer No.: 27,885
For: BALL BEARING AND A	)	
VACUUM PUMP THAT IS	)	
EQUIPPED WITH A	)	
BEARING OF THIS TYPE	)	
	)	
Attorney Docket No.:	)	Cleveland, OH 44114
LYBZ 2 00094	)	May 25, 2005

#### SUBMISSION OF VERIFIED TRANSLATION

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The applicant is filing herewith a Verified Translation of the priority German-language Patent PCT Application No. PCT/EP03/01770.

The verified English translation of the application comprises:

- 7 pages of Specification
- 3 pages of Claims
- 1 page of Abstract

Certificate of EXPRESS MAIL

"Express Mail" Mailing Label Number EV494959982US

Date of Deposit: \_\_MAY 25, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Hilary M. McNULTY

Hilary M. McNULTY

Early notice that the filing requirements have been met and an early allowance of this application is respectfully requested.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

Thomas E. Kocovsky, Jr

Reg. No. 28 383

1100 Superior Avenue

.. Seventh Floor

Cleveland, OH 44114-2518

(216) 861-5582

10/536473

JC14 Rec'd T/PTO 25 MAY 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	)	Examiner:
H. ENGLÄNDER, et al.	)	
,	)	Art Unit:
Serial No.: Unknown	)	•
·	)	Confirmation:
Filed: Herewith	)	
	)	Customer No.: 27,885
For: BALL BEARING AND A	)	•
<b>VACUUM PUMP THAT IS</b>	)	•
EQUIPPED WITH A	)	
<b>BEARING OF THIS TYPE</b>	)	
	)	
Attorney Docket No.:	)	Cleveland, OH 44114
LYBZ 2 00094	)	May 25, 2005

### **SUBMISSION OF FORMAL DRAWINGS**

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The applicants are enclosing two (2) sheets of Formal Drawings, including FIGURES 1-5.

An early indication of the acceptance of the Formal Drawings is earnestly solicited.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

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(216) 861-5582

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Hilary M. McNULTY